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# **Pole Placement (Bass Gura)**

**NDSU ECE 463/663**

**Lecture #13**

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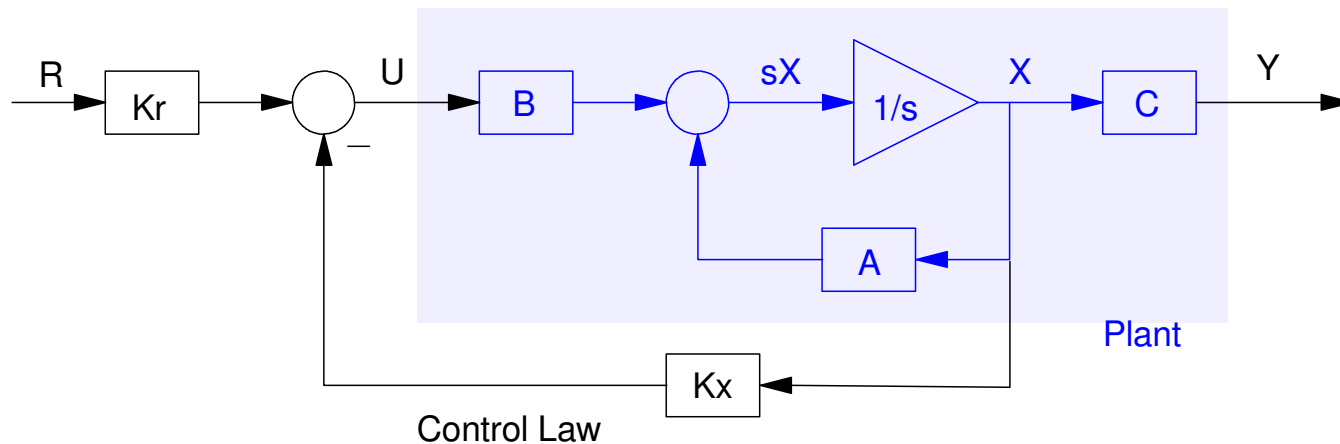
Please visit [Bison Academy](#) for corresponding  
lecture notes, homework sets, and solutions

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# Pole Placement

If a system is controllable,  $Kx$  can place all  $N$  poles of the closed-loop system anywhere.

- How do you find  $Kx$ ?
- How do you find  $K_r$ ?



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## Definitions:

Open-Loop System: System dynamics with  $U = 0$ .

$$sX = AX$$

Closed-Loop System: System dynamics with  $U = -KX$

$$sX = (A - BK_x)X$$

Characteristic Polynomial:

a) The polynomial with roots equal to the eigenvalues of A

$$\text{poly}(\text{eig}(A))$$

b) The denominator polynomial of the transfer function

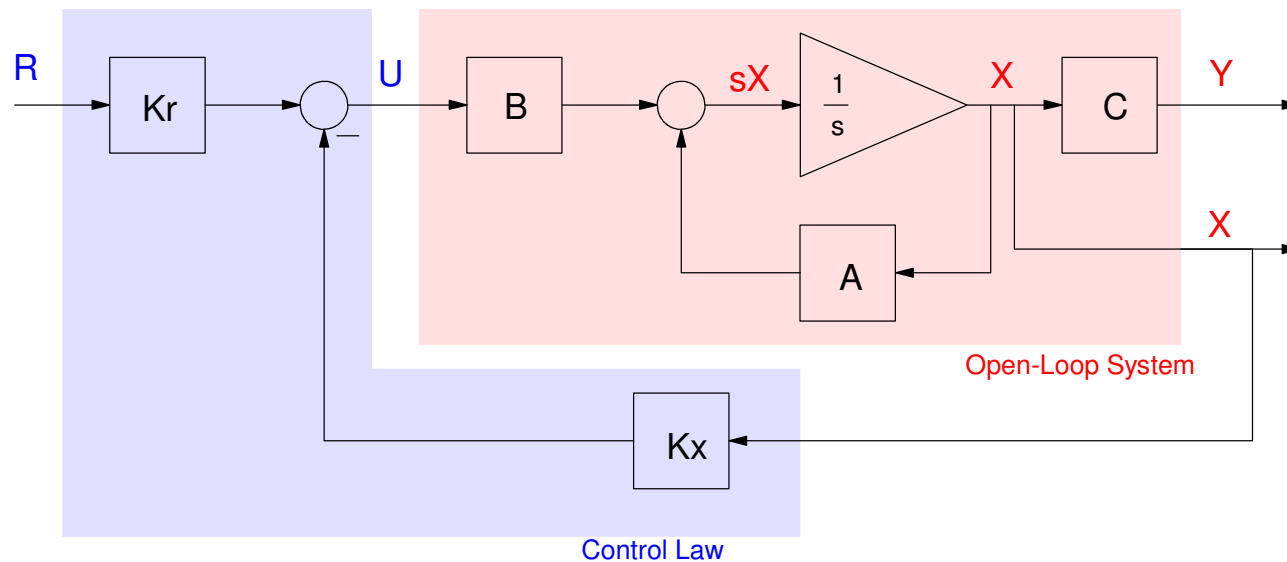
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# Bass Gura Derivation:

Assume

- A system is controllable.
- Full-state feedback is used:

$$U = K_r R - K_x X$$



Problem: Find  $K_x$  and  $K_r$  to Place the Poles of the Closed-Loop System and Set the DC Gain from  $R$  to  $Y$

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## Case 1: Controller Canonical Form:

Assume the system is in controller canonical form

- Characteristic polynomial (i.e. the denominator of the transfer function) is

$$P(s) = s^4 + a_3s^3 + a_2s^2 + a_1s + a_0$$

Find the feedback gains so that the characteristic polynomial becomes

$$P_d(s) = s^4 + b_3s^3 + b_2s^2 + b_1s + b_0$$

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In controller canonical form, the plant is:

$$sX = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -a_0 & -a_1 & -a_2 & -a_3 \end{bmatrix} X + \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} U$$

With full-state feedback:

$$U = -\begin{bmatrix} k_0 & k_1 & k_2 & k_3 \end{bmatrix} X$$

the dynamics become

$$sX = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -a_0 - k_0 & -a_1 - k_1 & -a_2 - k_2 & -a_3 - k_3 \end{bmatrix} X$$

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By inspection, the closed-loop characteristic polynomial is:

$$s^4 + (a_3 + k_3)s^3 + (a_2 + k_2)s^2 + (a_1 + k_1)s + (a_0 + k_0) = 0$$

which is to be equal to the desired characteristic polynomial:

$$s^4 + b_3s^3 + b_2s^2 + b_1s + b_0 = 0$$

Match terms

- The feedback gains are the difference between the desired and open-loop characteristic polynomials.

$$k_3 = b_3 - a_3$$

$$k_2 = b_2 - a_2$$

$$k_1 = b_1 - a_1$$

$$k_0 = b_0 - a_0$$

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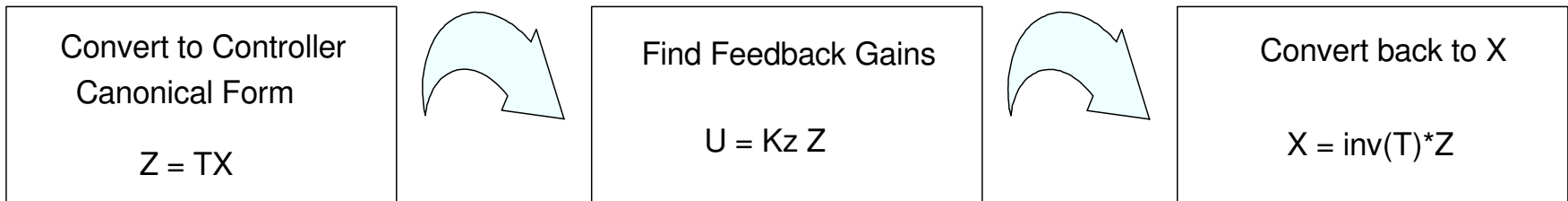
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## Case 2: The system not in controller canonical form

If this is the case,

- Find a similarity transform,  $T$ , which takes you to controller canonical form
- Find the feedback gains to place the closed-loop poles in controller form
- Convert these feedback gains to state-variable form with this similarity transform.

This method is called Bass-Gura or Pole Placement.



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## Bass Gura: Step 1

Find a similarity transform which takes you to controller canonical form.

$$T = T_1 T_2 T_3$$

T1 is the controllability matrix (assume a 4th-order system here):

$$T_1 = \begin{bmatrix} B & AB & A^2B & A^3B \end{bmatrix}$$

T2 is related to the system's characteristic polynomial

$$\det(sI - A) = s^4 + a_3s^3 + a_2s^2 + a_1s + a_0$$

$$T_2 = \begin{bmatrix} 1 & a_3 & a_2 & a_1 \\ 0 & 1 & a_3 & a_2 \\ 0 & 0 & 1 & a_3 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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T3 is a flip matrix

$$T_3 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

This similarity transform results in the transformed system being

$$Z = TX$$

$$sZ = (T^{-1}AT)Z + (T^{-1}B)U$$

or

$$sZ = A_z Z + B_z U$$

where  $(A_z, B_z)$  are in controller canonical form.

*Note that since  $T$  includes the controllability matrix and  $T$  is inverted, the  $(A, B)$  must be controllable for this algorithm to work.*

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## Bass Gura: Step 2

Determine the feedback gains in controller form ( $K_z$ )

- This is the difference between the desired and actual characteristic polynomials

Open-Loop Characteristic Polynomial:

$$P(s) = s^4 + a_3s^3 + a_2s^2 + a_1s + a_0$$

Closed-Loop (desired) Characteristic Polynomial:

$$P_d(s) = s^4 + b_3s^3 + b_2s^2 + b_1s + b_0$$

Feedback Gains:

$$K_z = \left[ (b_0 - a_0), (b_1 - a_1), (b_2 - a_2), (b_3 - a_3) \right]$$

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## Bass Gura: Step 3

Convert back to state-variable form (X) using the similarity transform:

$$K_x = K_z T^{-1}$$

Check your answer. The closed-loop system is then

$$sX = (A - BK_x)X$$

The eigenvalues of  $(A - BK)$  should be where you wanted to place them.

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## Step 4: Set the DC Gain (Find Kr)

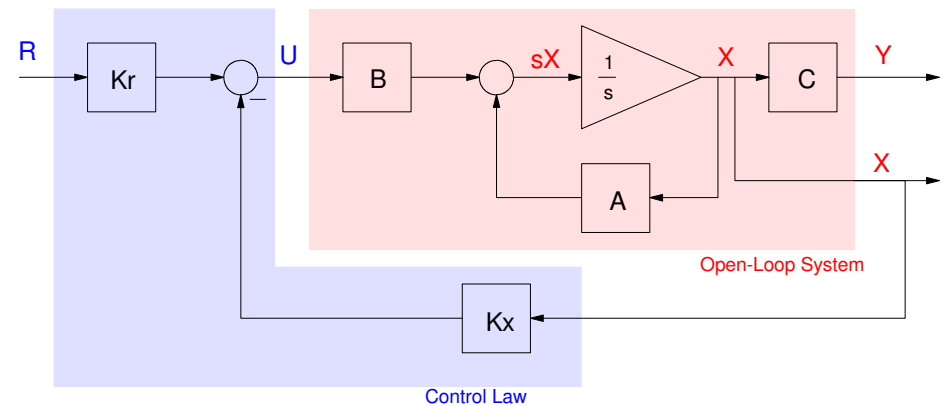
Assume

$$U = K_r R - K_x X$$

then the closed-loop dynamics are

$$sX = (A - BK_x)X + BK_r R$$

$$Y = CX$$



The steady-state (i.e. DC) gain is

$$sX = 0 = (A - BK_x)X + BK_r R$$

$$X = -(A - BK_x)^{-1} BK_r R$$

$$Y = -C(A - BK_x)^{-1} BK_r R$$

Pick Kr so that

$$-C(A - BK_x)^{-1} BK_r = 1$$

## Example 1: Heat Equation.

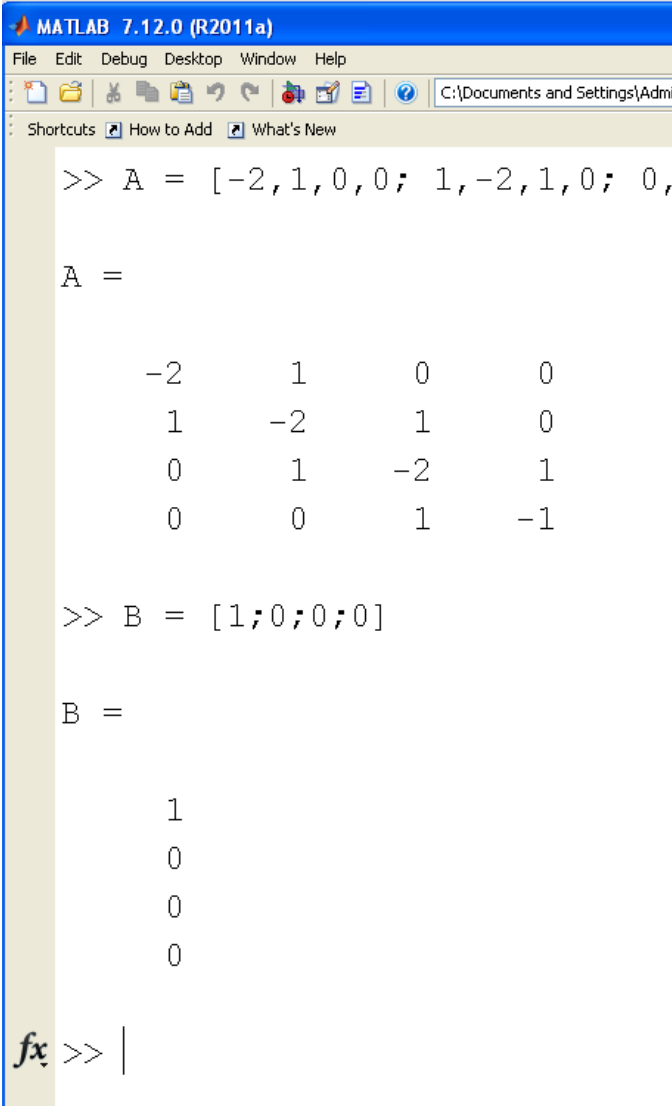
Assume a system has the following dynamics:

$$sX = \begin{bmatrix} -2 & 1 & 0 & 0 \\ 1 & -2 & 1 & 0 \\ 0 & 1 & -2 & 1 \\ 0 & 0 & 1 & -1 \end{bmatrix} X + \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} U$$

Find the feedback gain,  $K_x$ , to place the poles of the closed-loop system

$$U = -K_x X$$

at  $\{-1, -2, -3, -4\}$



```
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>> A = [-2,1,0,0; 1,-2,1,0; 0,
A =
    -2     1     0     0
     1    -2     1     0
     0     1    -2     1
     0     0     1    -1

>> B = [1;0;0;0]
B =
     1
     0
     0
     0

fx >> |
```

---

Step 1: Find the similarity transform which takes you to controller canonical form.

T1 is the controllability matrix:

```
>> T1 = [B, A*B, A*A*B, A*A*A*B]
```

```
    1    -2     5   -14
    0     1    -4    14
    0     0     1    -6
    0     0     0     1
```

```
>> rank(T1)
```

```
ans =      4
```

The system is controllable

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T2 is related to the system's characteristic polynomial

```
>> P = poly(eig(A))
```

```
1.0000    7.0000   15.0000   10.0000    1.0000
```

```
>> T2 = [ P(1:4); 0, P(1:3); 0, 0, P(1:2); 0, 0, 0, P(1)]
```

```
1.0000    7.0000   15.0000   10.0000
      0    1.0000    7.0000   15.0000
      0      0    1.0000    7.0000
      0      0      0    1.0000
```

T3 is a flip matrix

```
>> T3 = [0,0,0,1;0,0,1,0;0,1,0,0;1,0,0,0]
```

```
0      0      0      1
0      0      1      0
0      1      0      0
1      0      0      0
```

---

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The transform to controller canonical form is:

```
>> T = T1*T2*T3;
```

```
>> Az = inv(T)*A*T
```

```
      0      1.0000      0      0
      0     -0.0000      1.0000     0.0000
      0      0.0000      0.0000      1.0000
 -1.0000 -10.0000 -15.0000     -7.0000
```

```
>> Bz = inv(T)*B;
```

```
0
0
0
1
```

Check:  $(A_z, B_z)$  are in controller canonical form.

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## Step 2: Find the full-state feedback gains in controller form.

- The difference between the desired and open-loop characteristic polynomials:

```
>> Pd = poly([-1, -2, -3, -4])
```

```
      1      10      35      50      24
```

```
>> P = poly(eig(A))
```

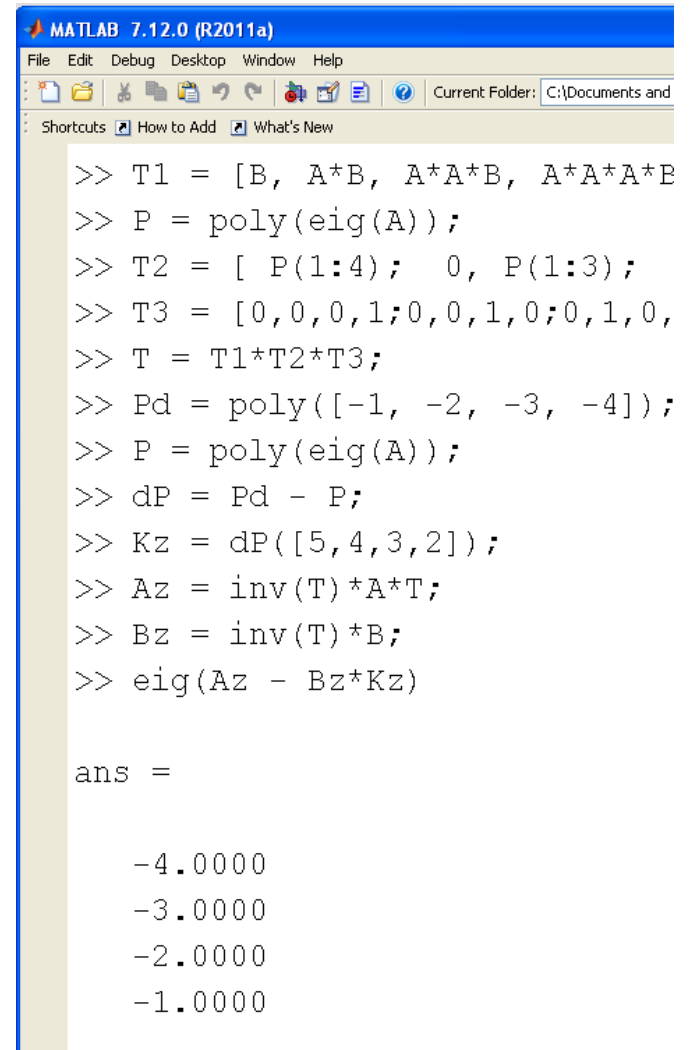
```
      1       7      15      10       1
```

```
>> dP = Pd - P
```

```
      0       3      20      40      23
```

```
>> Kz = dP([5, 4, 3, 2])
```

```
      23      40      20       3
```



```
MATLAB 7.12.0 (R2011a)
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Shortcuts How to Add What's New

>> T1 = [B, A*B, A*A*B, A*A*A*B]
>> P = poly(eig(A));
>> T2 = [ P(1:4); 0, P(1:3)];
>> T3 = [0,0,0,1;0,0,1,0;0,1,0,0];
>> T = T1*T2*T3;
>> Pd = poly([-1, -2, -3, -4]);
>> P = poly(eig(A));
>> dP = Pd - P;
>> Kz = dP([5,4,3,2]);
>> Az = inv(T)*A*T;
>> Bz = inv(T)*B;
>> eig(Az - Bz*Kz)

ans =

-4.0000
-3.0000
-2.0000
-1.0000
```

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Step 3: Convert  $K_z$  to the gain times the state variables ( $X$ )

```
>> Kx = Kz*inv(T)
```

```
    3.0000    5.0000    7.0000    8.0000
```

```
>> eig(A - B*Kx)
```

```
-4.0000
```

```
-3.0000
```

```
-2.0000
```

```
-1.0000
```

The control law which places the closed-loop poles at  $\{ -1, -2, -3, -4 \}$  is

$$U = -K_x X$$

where

$$K_x = \begin{bmatrix} 3 & 5 & 7 & 8 \end{bmatrix}$$

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Finding  $K_r$ :

$$-C(A - BK_x)^{-1}BK_r = 1$$

```
>> DC = -C*inv(A-B*Kx)*B
```

```
DC = 0.0417
```

```
>> Kr = 1/DC
```

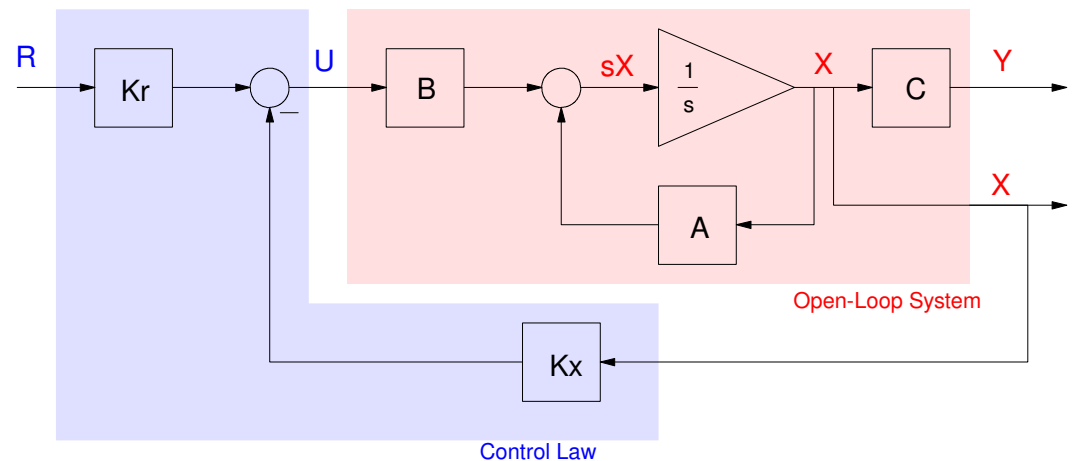
```
Kr = 24.0000
```

So the control law is

$$U = K_r R - K_x X$$

$$K_r = 24$$

$$K_x = \begin{bmatrix} 3 & 5 & 7 & 8 \end{bmatrix}$$



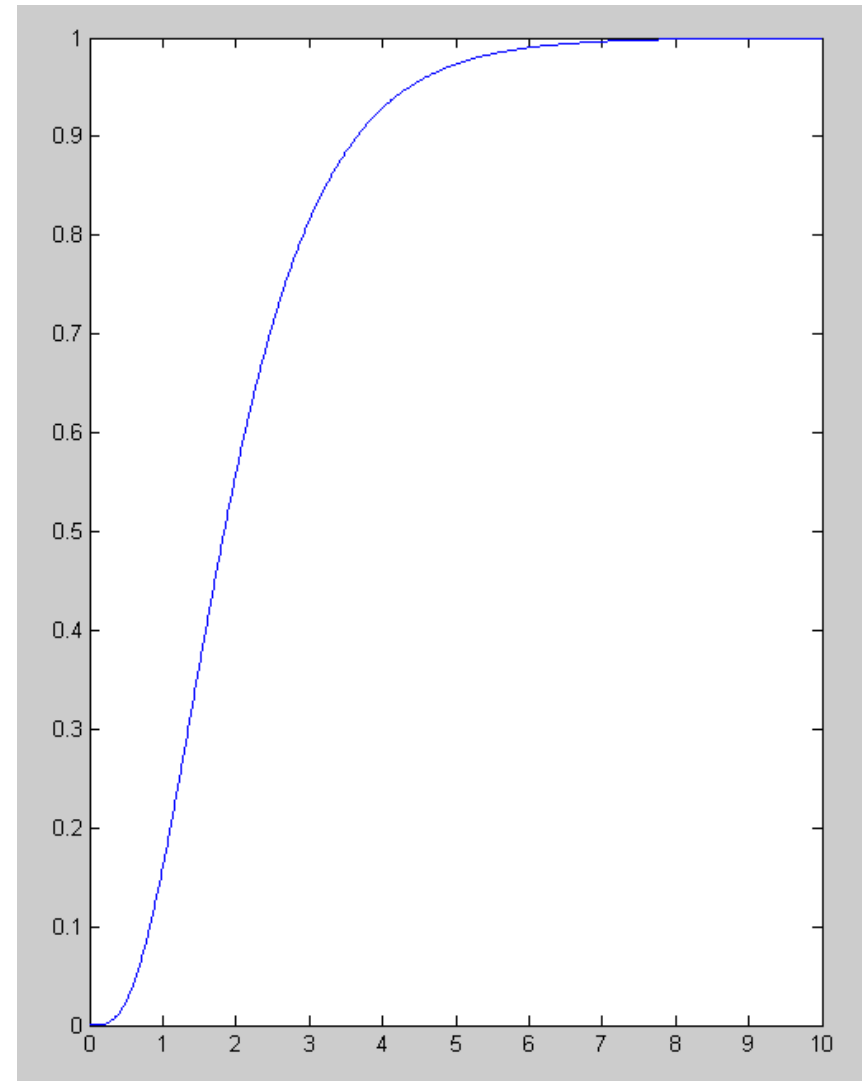
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## Plotting the Step Response

```
>> t = [0:0.01:10]';  
>> G = ss(A-B*Kx, B*Kr, C, 0);  
>> y = step(G,t);  
>> plot(t,y);
```

### Note:

- The DC gain is 1.000
- The 2% settling time is 4ish seconds
  - Dominant pole is at -1
- No overshoot for step input
  - Dominant pole is real



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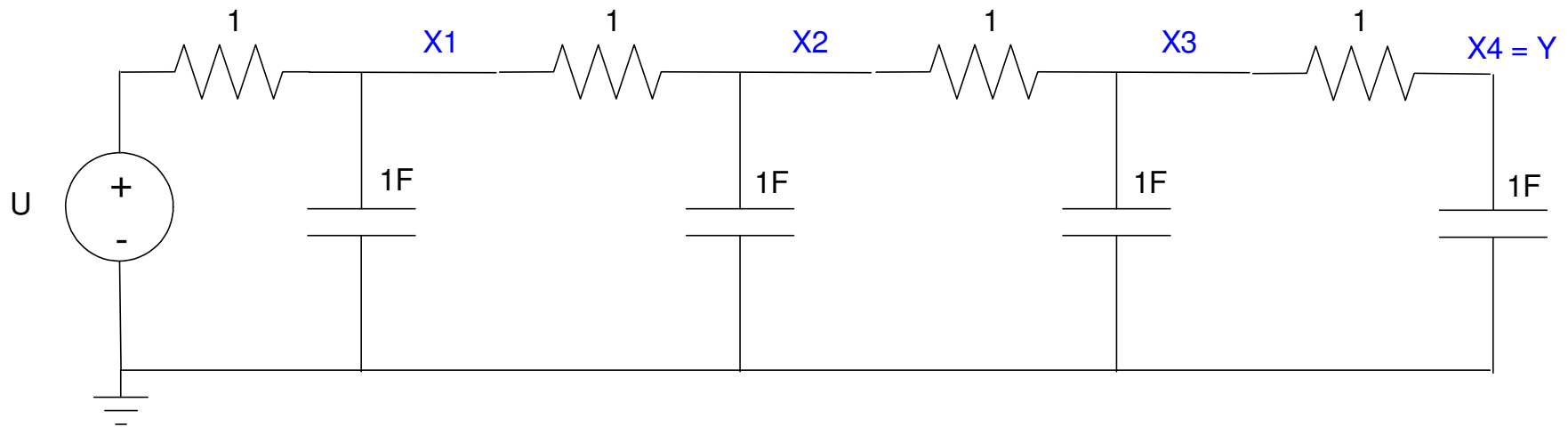
## Example 2: Complex Poles

You can place the poles *anywhere* with pole placement

You can even make an RC circuit oscillate

Example: Place the closed-loop poles at

$$\{ -1 + j3, -1 - j3, -5 + j2, -5 - j2 \}$$



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## Bass Gura

Step 0: Input the system (done)

Step 1: Find the similarity transform (done)

Step 2: Find the feedback gains,  $K_z$

```
>> Pd = poly([-1 + j*3, -1 - j*3, -5 + j*2, -5-j*2])
```

```
1      12      59      158      290
```

```
>> P = poly(eig(A))
```

```
1.0000      7.0000     15.0000     10.0000      1.0000
```

```
>> dP = Pd - P
```

```
0         5        44       148       289
```

```
>> Kz = dP([5,4,3,2])
```

```
289      148       44        5
```

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### Step 3: Convert Kz to Kx:

```
>> Kx = Kz*inv(T)
```

```
5.0000    19.0000    61.0000   204.0000
```

### Check Kx:

```
>> eig(A - B*Kx)
```

```
-5.0000 + 2.0000i  
-5.0000 - 2.0000i  
-1.0000 + 3.0000i  
-1.0000 - 3.0000i
```

## Find $K_r$

```
>> DC = -C*inv(A-B*Kx)*B
```

```
DC = 0.0034
```

```
>> Kr = 1/DC
```

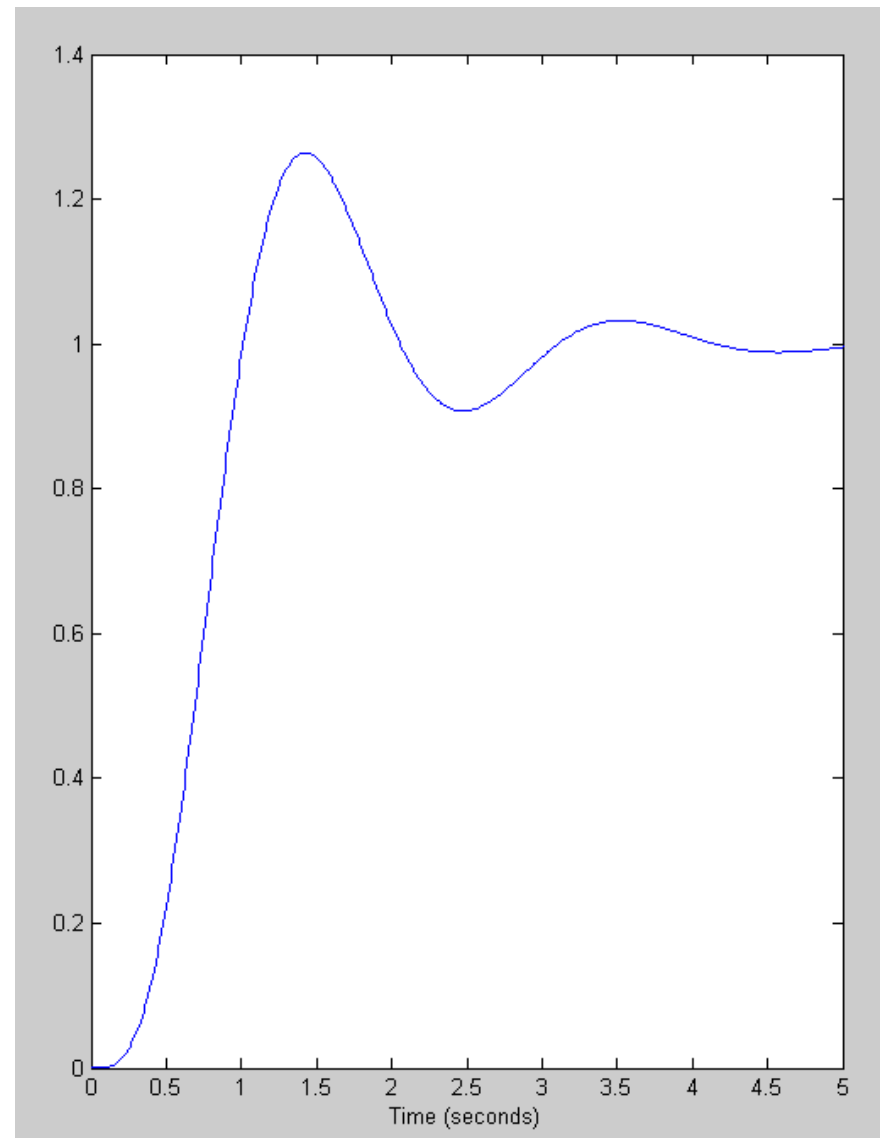
```
Kr = 290.0000
```

So the resulting control law is:

$$U = K_r R - K_x X$$

$$K_r = 290$$

$$K_x = \begin{bmatrix} 5 & 19 & 61 & 204 \end{bmatrix}$$

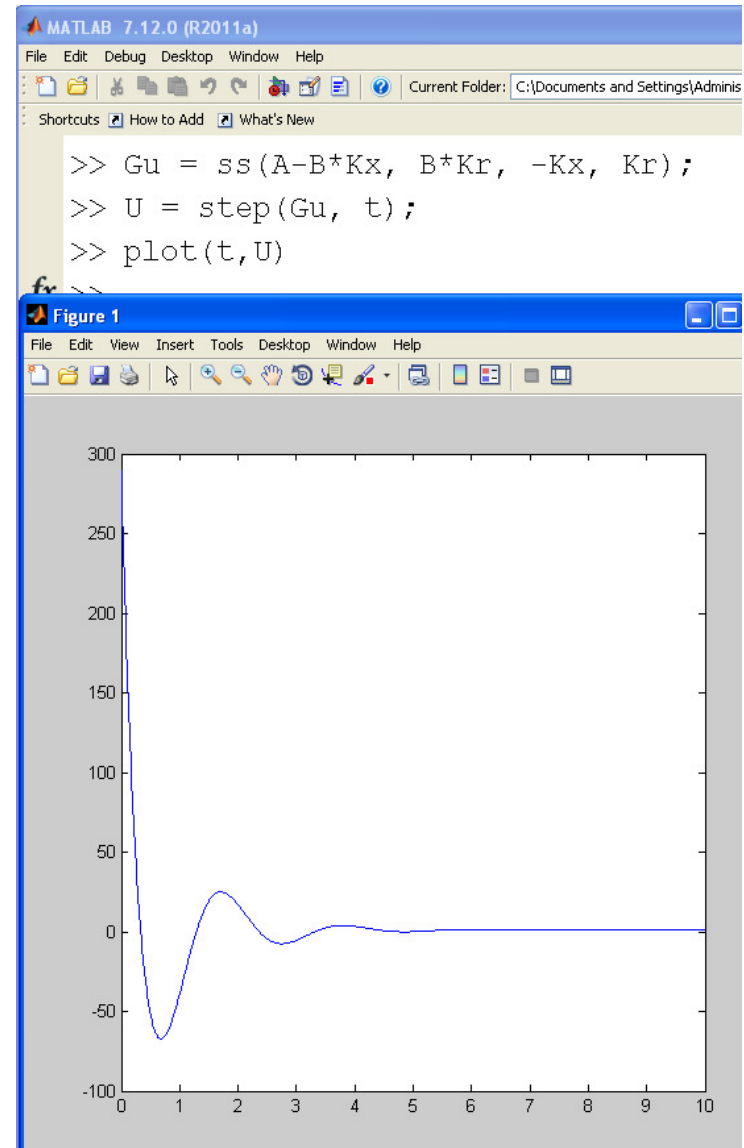


# How is this possible?

*In Thrust we Trust*

Raw power.

- Large feedback gains tell you you're trying to force the system to behave contrary to its nature
- In theory, it can be done
- In practice, it takes a lot of power



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## How is this used?

<https://asian-defence.blogspot.com/2011/05/russia-experts-says-russia-could-sell.html>  
[http://iptmajor.weebly.com/uploads/3/8/3/2/38326191/2319116\\_orig.jpg](http://iptmajor.weebly.com/uploads/3/8/3/2/38326191/2319116_orig.jpg)

With full-state feedback, any response is possible

- You can make a MIG behave like an F-14
- You can make a research-grade robot behave like an assembly-line robot



Bass Gura allows you to find full-state feedback gains to place the closed-loop poles *anywhere*.

